Schedule-III

[See rules 18(4) and (9)]

Application form and documents to be attached for Certificate of Compliance for Aquaculture Inputs / renewal

1. Duly filled application for each product separately in Form-III
2. Applicable processing fee in the form of a Demand Draft
3. Details of applicant company such as certificate of incorporation, Goods and Services Tax certificate, micro, small or medium enterprises, etc.)
4. Copy of the agreement between applicant company and merchant manufacturer (if applicable) (for Indian manufactured product only)
5. Details of manufacturing facility (License to work a factory/ Good Manufacturing Practice or Best Aquaculture Practices or Hazard Analysis and Critical Control Point System or International Organisation for Standardisation certificate of registration, etc.) (applicable for both Indian manufactured product and imported product)
6. Process certification for imported product (Good Manufacturing Practice or Best Aquaculture Practices or Hazard Analysis and Critical Control Point System or International Organisation for Standardisation or any other certificate)
7. Original label, in accordance with the Guidelines for certificate of compliance for aquaculture inputs referred to in clause (e) of rule 3
8. Manufacturing Process (Detailed) and testing process for quality control
9. Original laboratory report (NOT earlier than a month) from National Accreditation Board for Testing and Calibration Laboratories accredited laboratory for the parameters as specified in the Guidelines for certificate of compliance for aquaculture inputs referred to in clause (e) of rule 3
10. Undertaking for sample retention and reimbursing the cost of samples collected by the task force in the format specified in the Guidelines for certificate of compliance for aquaculture inputs referred to in clause (e) of rule 3
11. Notarised self-declaration in the format specified in the Guidelines for certificate of compliance for aquaculture inputs referred to in clause (e) of rule 3
12. Notarised agreement between applicant company and overseas principal manufacturer (for imported products only)
13. Health certificate / sanitary certificate/ veterinary certificate or any other certification indicating antibiotic free status issued by the country of origin
14. Tamper proof mechanism if any available (with detailed description and illustration)
15. List of records maintained in the unit

FORM III

(See rules 18(4) and 9)

APPLICATION FOR CERTIFICATE OF COMPLIANCE FOR AQUACULTURE INPUTS/RENEWAL

I. Applicant details		Passport size photo
1. Name of the Applicant		
2. Applicant Designation		
(a) Managing Director (b) Director (c) Authorised Person (enclose authorisation letter)		
3. ID Proof of the applicant (a) Aadhar (b) Driving License (c) Voter Id (d) Passport (e) Any other (specify-text box)		
4. Whether applying for fresh compliance/renewal for compliance		
5. If applied for renewal compliance, furnish the details, if any		
(a) Coastal Aquaculture Authority Certification number		
(b) Date of Certification		
(c) Validity period	From_____ To_____	
II. Details of the Firm/Company		
1. Name of the Registered Company/Firm		
(a) Individual		
(b) Corporate		
(c) Consortium		

(d) Others	
2. Contact Details	
(a) Mobile number	
(b) Email id	
3. Address of the company/firm	
4. Address for communication	
5. Category of the Company/Firm	
(a) Indian Own manufacturer	
(b) Indian Merchant manufacturer	
(c) Distributor of overseas product	
6. Whether registered with authorized agency of Government	**Yes/ No**
If yes, any one of the following documents to be attached (a) Goods and Services Tax (b) Micro Small & Medium Enterprises, (c) Udyog Aadhar (d) Certificate of incorporation (e) Certificate of registration (f) Any others	
7. Do the Firm/Company possess any Certification for the Product (Applicable for both own & merchant manufacturer)	**Yes/No**

If yes, any one of the following documents to be attached (a) Department of factories (b) Good Manufacturing Practice (c) International Organization for standardization (d) Best Aquaculture Practices (e) Hazard Analysis Critical Control Point (f) Any others	
8. For Indian Own manufacturer/ Indian merchant manufacturer	
(a) Name of the Indian Own manufacturer/ Indian Merchant Manufacturer	
(b) Address of the manufacturing unit	
(c) If applicant is Indian merchant manufacturer, please furnish copy of the agreement with manufacturing Company/Firm)	
9. For Distributor of overseas product	
(a) Name of the overseas Company/Firm	
(b) Address of the overseas manufacturer	
(c) Agreement between applicant and overseas manufacturer (supporting document to be attached	**Yes/No**
(d) Health Certificate/ Sanitary Certificate/ Veterinary Certificate/ Any other Antibiotic Free Certificate	**Yes/No**
III. Details of the product	
1. Name of the Product (Same as in the label and laboratory Report)	
2. Product code (if any)	

3. Category of the product (a) Feed additive (b) Probiotic (c) Feed- larval (d) Feed -adult (e) Chemical (f) Disinfectant (g) Immunostimulant (h) Drug (i) Mineral mixture (j) Others (specify)	
4. Manufacturing Process of the Product	
(a) Whether Quality Testing laboratory facility available	**Yes/No**
(b) If yes, in-house laboratory facilities existing (i) Water and soil analysis (ii) Microbiology (iii) Feed analysis (iv) Enzyme Linked Immuno Absorbant Assay (v) Polymerase Chain Reaction /Reverse transcription Polymerase chain reaction (vi) Liquid Chromatographic Mass Spectrometric (vii) Gas Chromatography / Mass Spectrometry (viii) Others (c) Outsource Testing Laboratory (text box-list of the parameters tested) (i) Water and soil analysis (ii) Microbiology (iii) Feed analysis (iv) Enzyme Linked Immuno Absorbant Assay (v) Polymerase Chain Reaction /Reverse transcription Polymerase chain Reaction (vi) Liquid Chromatographic Mass Spectrometric (vii) Gas Chromatography/ Mass Spectrometry (viii) Others	

IV. Details of the antibiotic - free status of the product	
1. Name of the Laboratory where sample analyzed	
2. Address of the Laboratory	
3. Accreditation of the Laboratory (a) National Accreditation Board for Testing and Calibration Laboratories (b) Export Inspection Council (c) Indian Council of Agricultural Research (d) Other Government Laboratory	
4. Date of Analysis of Antibiotic residue	
5. Result of the sample analysis for Antibiotic residue	
6. Analysis Method	
(a) Liquid Chromatographic Mass Spectrometric (b) Any Other	
7. Tamper Proof Mechanism	**Yes/No**
Details of fees paid	Amount : Demand Draft number and date : Bank :

Declaration

I/We _____ (son(s)/ daughter(s)/wife of _____residing at _____ hereby declare that the information furnished above is true to the best of my/our knowledge and belief. I am/ We are fully aware that if the information furnished by me/us is false or there is any kind of deviation/violation of the conditions on which the Certificate of Compliance to be issued by the Authority, the Certificate of Compliance issued will be either suspended or cancelled besides imposing penalty as per the penal provisions under Coastal Aquaculture Authority Act or the rules, regulation and guidelines made thereunder.

Place:

Date: **Signature of the applicant(s)**

Form-E-1

[See paragraph 4 (1) (b)]

[To be printed on Rs. 100/- Non-judicial stamp paper]

Date:

DECLARATION

We – (name of the company) undertake to declare that a sample not less than 500grams or 500miligrams of each aquaculture input from each batch of manufacturing is being retained for the aquaculture inputs listed below with necessary information and adequate safety and storage precautions for maintaining this input, till the expiry of its validity of the respective batch for each aquaculture input sample.

Sl.No.	Name of the aquaculture input	Period of retention (from the date of sampling)
(1)	(2)	(3)
1.		
2.		
3.		
4.		
5.		

Further, we undertake to reimburse the cost of the samples collected by Coastal Aquaculture Authority to the end user concerned.

(Name of the authorised signatory with company seal)

Form-E-2

[See paragraph 4(4) (c) (ii) and 4(5) (ii)]

[To be printed on Rs. 100/- Non-judicial stamp paper]

Date:

DECLARATION

We – (name of the company) do solemnly affirm that the ingredients used for the manufacturing of the following aquaculture inputs are free from the antibiotics banned for use in aquaculture. We also affirm that the process with which the following aquaculture inputs manufactured are designed to produce the aquaculture inputs without the inclusion of any antibiotic in any form:

Sl.No.	Name of the aquaculture input
(1)	(2)
1.	
2.	
3.	
4.	
5.	

Further, we do authorise the Coastal Aquaculture Authority or any person, team or committee authorised by the Authority to enter and inspect the storage premises or manufacturing facility of the company and to collect aquaculture input samples at any time without any prior notice.

(Name of the authorised signatory with company seal)

Form-E-3

[See paragraph 5 (1) (iii)]

[To be printed on Rs. 100/- Non-judicial stamp paper]

Date:

DECLARATION

We – (name of the company) do solemnly affirm that the ingredients used for the manufacturing of the following aquaculture inputs are free from the antibiotics banned for use in aquaculture. We also affirm that the process with which the following aquaculture inputs manufactured are designed to produce the aquaculture inputs without the inclusion of any antibiotic in any form:

Sl.No.	Name of the aquaculture input
(1)	(2)
1.	
2.	
3.	
4.	
5.	

Further, we declare that the aquaculture input composition, name, period of expiry, dosage, method of application and all other aspect of the above mentioned aquaculture input, furnished at the time of certification remains the same.

(Name of the authorised signatory with company seal)

Declaration of the non-usage of pharmacologically active substances

[To be printed on Rs. 100/- Non-judicial stamp paper and duly notarised]

Date:

DECLARATION

We – (name of the company) do solemnly affirm and declare that the manufacturing process employed for the production of the following aquaculture inputs is designed and implemented in such a manner as to ensure that none of the antibiotics or other pharmacologically active substances prohibited under CAA Order No. 76-13/2024-Tech dated 11.06.2026 have been used, included, incorporated, or added in any form during the manufacture and supply of the following our products.

Sl. No.	Name of the aquaculture input
(1)	(2)
1.	
2.	
3.	
4.	
5.	

If any such prohibited substances are found in the product certified by CAA with Certificate of Compliance, during the monitoring of the product by the Inspection Committee/Task Force Committee, we are obliged to undergo the penal action as prescribed in the Section 14 of The CAA Act, 2005.

We also permit the collection of aquaculture input samples randomly from manufacturing facility or storage facility, aqua shops, farms, hatcheries, etc. and shall reimburse the cost of the sample collected by the Authority to the facility from where the sample is collected.

We further declare that the CAA logo or any other Government logos shall not be used on the label, packaging, promotional material or advertisement of any aquaculture input/product without approval of the concerned Authorities.

(Name of the authorised signatory with company seal)