

**FORM III**  
(See rules 18(4) and 9)  
**APPLICATION FOR CERTIFICATE OF COMPLIANCE FOR AQUACULTURE  
INPUTS/RENEWAL**

<b>I. Applicant details</b>		Passport size photo
1. Name of the Applicant		
2. Applicant Designation		
(a) Managing Director (b) Director (c) Authorised Person (enclose authorisation letter)		
3. ID Proof of the applicant (a) Aadhar (b) Driving License (c) Voter Id (d) Passport (e) Any other (specify-text box)		
4. Whether applying for fresh compliance/renewal for compliance		
5. If applied for renewal compliance, furnish the details, if any		
(a) Coastal Aquaculture Authority Certification number		
(b) Date of Certification		
(c) Validity period	From _____ To _____	

<b>II. Details of the Firm/Company</b>	
1. Name of the Registered Company/Firm	
(a) Individual	
(b) Corporate	
(c) Consortium	
(d) Others	
2. Contact Details	
(a) Mobile number	
(b) Email id	
3. Address of the company/firm	
4. Address for communication	
5. Category of the Company/Firm	
(a) Indian Own manufacturer	
(b) Indian Merchant manufacturer	
(c) Distributor of overseas product	
6. Whether registered with authorized agency of Government	<b>Yes/ No</b>

<p>If yes, Any one of the following documents to be attached</p> <ul style="list-style-type: none"> <li>(a) Goods and Services Tax</li> <li>(b) Micro Small &amp; Medium Enterprises,</li> <li>(c) Udyog Aadhar</li> <li>(d) Certificate of incorporation</li> <li>(e) Certificate of registration</li> <li>(f) Any others</li> </ul>	
<p>7. Do the Firm/Company possess any Certification for the Product (Applicable for both own &amp; merchant manufacturer)</p>	<p><b>Yes/No</b></p>
<p>If yes, any one of the following documents to be attached</p> <ul style="list-style-type: none"> <li>(a) Department of factories</li> <li>(b) Good Manufacturing Practice</li> <li>(c) International Organization for standardization</li> <li>(d) Best Aquaculture Practices</li> <li>(e) Hazard Analysis Critical Control Point</li> <li>(f) Any others</li> </ul>	
<p>8. For Indian Own manufacturer/ Indian merchant manufacturer</p>	
<p>(a) Name of the Indian Own manufacturer/ Indian Merchant Manufacturer</p>	
<p>(b) Address of the manufacturing unit</p>	

(c) If applicant is Indian merchant manufacturer, please furnish copy of the agreement with manufacturing Company/Firm)	
9. For Distributor of overseas product	
(a) Name of the overseas Company/Firm	
(b) Address of the overseas manufacturer	
(c) Agreement between applicant and overseas manufacturer (supporting document to be attached)	<b>Yes/No</b>
(d) Health Certificate/ Sanitary Certificate/ Veterinary Certificate/ Any other Antibiotic Free Certificate	<b>Yes/No</b>
<b>III. Details of the product</b>	
1. Name of the Product (Same as in the label and laboratory Report)	
2. Product code (if any)	
3. Category of the product (a) Feed additive (b) Probiotic (c) Feed- larval (d) Feed -adult (e) Chemical (f) Disinfectant (g) Immunostimulant (h) Drug (i) Mineral mixture (j) Others (specify)	

4. Manufacturing Process of the Product	
(a) Whether Quality Testing laboratory facility available	<b>Yes/No</b>
<p>(b) If yes, in-house laboratory facilities existing</p> <ul style="list-style-type: none"> <li>(i) Water and soil analysis</li> <li>(ii) Microbiology</li> <li>(iii) Feed analysis</li> <li>(iv) Enzyme Linked Immuno Absorbant Assay</li> <li>(v) Polymerase Chain Reaction /Reverse transcription Polymerase chain reaction</li> <li>(vi) Liquid Chromatographic Mass Spectrometric</li> <li>(vii) Gas Chromatography / Mass Spectrometry</li> <li>(viii) Others</li> </ul> <p>(c) Outsource Testing Laboratory (text box-list of the parameters tested)</p> <ul style="list-style-type: none"> <li>(i) Water and soil analysis</li> <li>(ii) Microbiology</li> <li>(iii) Feed analysis</li> <li>(iv) Enzyme Linked Immuno Absorbant Assay</li> <li>(v) Polymerase Chain Reaction /Reverse transcription Polymerase chain Reaction</li> <li>(vi) Liquid Chromatographic Mass Spectrometric</li> <li>(vii) Gas Chromatography/ Mass Spectrometry</li> <li>(viii) Others</li> </ul>	

<b>IV. Details of the antibiotic - free status of the product</b>	
1. Name of the Laboratory where sample analyzed	
2. Address of the Laboratory	
3. Accreditation of the Laboratory (a) National Accreditation Board for Testing and Calibration Laboratories (b) Export Inspection Council (c) Indian Council of Agricultural Research (d) Other Government Laboratory	
4. Date of Analysis of Antibiotic residue	
5. Result of the sample analysis for Antibiotic residue	
6. Analysis Method	
(a) Liquid Chromatographic Mass Spectrometric (b) Any Other	
7. Tamper Proof Mechanism	<b>Yes/No</b>
Details of fees paid	Amount :  Demand Draft number and  date :  Bank :

**Declaration**

I/We \_\_\_\_\_ (son(s)/ daughter(s)/wife of  
\_\_\_\_\_residing at  
\_\_\_\_\_ hereby declare that the  
information furnished above is true to the best of my/our knowledge and belief. I am/ We are  
fully aware that if the information furnished by me/us is false or there is any kind of  
deviation/violation of the conditions on which the Certificate of Compliance to be issued by  
the Authority, the Certificate of Compliance issued will be either suspended or cancelled  
besides imposing penalty as per the penal provisions under Coastal Aquaculture Authority Act  
or the rules, regulation and guidelines made thereunder.

**Place:**

**Date:**

**Signature of the applicant(s)**

### **Schedule-III**

**[See rules 18(4) and (9)]**

#### **Application form and documents to be attached for Certificate of Compliance for Aquaculture Inputs / renewal**

1. Duly filled application for each product separately in Form-III
2. Applicable processing fee in the form of a Demand Draft
3. Details of applicant company such as (certificate of incorporation, GST, MSME, etc.)
4. Details of manufacturing facility (License to work a factory/ GMP OR BAP OR HACCP OR ISO, etc.) (applicable for both Indian manufactured product and imported product)
5. Original label, in accordance with the Guidelines for certificate of compliance for aquaculture inputs referred to sub-paragraph (4)
6. Manufacturing Process (Detailed) and testing process for quality control
7. Original laboratory report (**NOT earlier than a month**) from the date of submission of application, for the presence of antibiotics, their parent compounds and metabolites specified by the Authority from any National Accreditation Board for Testing and Calibration Laboratories accredited Government or private laboratory with a **scope for testing said antibiotic residues using Liquid Chromatography with tandem mass spectrometry method.**
8. Undertaking for sample retention and reimbursing the cost of samples collected by the task force in the format specified in the Guidelines for certificate of compliance for aquaculture inputs referred to a paragraph 4 (1) (b) furnish declaration in **Form-E-1 (To be printed on Rs. 100/- Non-judicial stamp paper) (should be notarized)**
9. Notarized self-declaration in **Form-E-2** the format specified in the Guidelines for certificate of compliance for aquaculture inputs referred to paragraph 4(4) (c) (ii) and 4(5)(ii). Notify vide No S.O.1456 (E) dated 15th March 2024 (To be printed on Rs. 100/- Non-judicial stamp paper) (**for both Indian own & Merchant Manufacturer**)
10. Notarized self-declaration in **Form-E-3** the format specified in the Guidelines for certificate of compliance for aquaculture inputs referred to paragraph 5(1) (iii). Notify vide No S.O.1456 (E) dated 15th March 2024

(To be printed on Rs. 100/- Non-judicial stamp paper) **(for both Indian own & Merchant Manufacturer)**

11. Tamper proof mechanism if any available (with detailed description and illustration)
12. List of records maintained in the unit
13. Copy of the agreement between applicant company and merchant manufacturer (if applicable) (for Indian merchant manufactured product only)
14. Process certification for imported product (Good Manufacturing Practice or Best Aquaculture Practices or Hazard Analysis and Critical Control Point System or International Organization for Standardization or any other certificate)
15. Copy of authorization for distributing the aquaculture input or copy of agreement between applicant company and overseas principal manufacturer **(for imported products only)**
16. Health certificate / sanitary certificate/ veterinary certificate or any other certification indicating antibiotic- free status issued by the country of origin and in the absence of such certificate, a duly notarized declaration from the manufacturer in **Form-E-2**.

**Form-E-1**

[See paragraph 4 (1) (b)]

[To be printed on Rs. 100/- Non-judicial stamp paper]

**Date:**

**DECLARATION**

We – (name of the company) undertake to declare that a sample not less than 500grams or 500miligrams of each aquaculture input from each batch of manufacturing is being retained for the aquaculture inputs listed below with necessary information and adequate safety and storage precautions for maintaining this input, till the expiry of its validity of the respective batch for each aquaculture input sample.

Sl.No.	Name of the aquaculture input	Period of retention (from the date of sampling)
(1)	(2)	(3)
1.		
2.		
3.		
4.		
5.		

Further, we undertake to reimburse the cost of the samples collected by Coastal Aquaculture Authority to the end user concerned.

(Name of the authorised signatory with company seal)

**Form-E-2**

[See paragraph 4(4) (c) (ii) and 4(5) (ii)]

[To be printed on Rs. 100/- Non-judicial stamp paper]

**Date:**

**DECLARATION**

We – (name of the company) do solemnly affirm that the ingredients used for the manufacturing of the following aquaculture inputs are free from the antibiotics banned for use in aquaculture. We also affirm that the process with which the following aquaculture inputs manufactured are designed to produce the aquaculture inputs without the inclusion of any antibiotic in any form:

Sl.No.	Name of the aquaculture input
(1)	(2)
1.	
2.	
3.	
4.	
5.	

Further, we do authorise the Coastal Aquaculture Authority or any person, team or committee authorised by the Authority to enter and inspect the storage premises or manufacturing facility of the company and to collect aquaculture input samples at any time without any prior notice.

(Name of the authorised signatory with company seal)

**Form-E-3**

[See paragraph 5 (1) (iii)]

[To be printed on Rs. 100/- Non-judicial stamp paper]

**Date:**

**DECLARATION**

We – (name of the company) do solemnly affirm that the ingredients used for the manufacturing of the following aquaculture inputs are free from the antibiotics banned for use in aquaculture. We also affirm that the process with which the following aquaculture inputs manufactured are designed to produce the aquaculture inputs without the inclusion of any antibiotic in any form:

Sl.No.	Name of the aquaculture input
(1)	(2)
1.	
2.	
3.	
4.	
5.	

Further, we declare that the aquaculture input composition, name, period of expiry, dosage, method of application and all other aspect of the above mentioned aquaculture input, furnished at the time of certification remains the same.

(Name of the authorised signatory with company seal)